

HLeBroking – Brokerage Fee Waiver Campaign for new & existing customers (“Campaign”).

Campaign Period – 2 months (1st October 2018 to 30th November 2018, both dates inclusive).

Eligibility

1. Participation in this Campaign shall be by invitation only. Invitations to participate shall be restricted to selected individual customers (“Eligible Customers”) of Hong Leong Investment Bank Berhad (“HLIB”) from among those customers who fulfill the following criteria*:-

(a) New customers who successfully opened their HLIB Trading Accounts and HLeBroking online share trading portals (collectively referred to as “**Trading Accounts**”) with HLIB within the Campaign Period;

(b) Existing customers:-

- (i) who successfully opened their Trading Accounts before 1st October 2016;
- (ii) who conducted or carried out **at least one (1) trade** through the HLeBroking Portal prior to 1st October 2016; and
- (iii) who did not carry out any trades between **1st October 2016 and 30th September 2018**;
or

(c) Existing customers:-

- (i) who successfully opened their Trading Accounts between **1st October 2016 and 30th September 2018** (both dates inclusive); and
- (ii) who did not carry out any trades between **1st October 2016 and 30th September 2018** (both dates inclusive).

2. Margin Account holders, Pay&Save Account/-i holders and employees of HLIB are not eligible to participate in the Campaign.

** There is no automatic eligibility for participation in this Campaign. HLIB reserves the right to include or exclude any customer for this Campaign. Fulfilment of the criteria set out above is an indication only, and not a guarantee of eligibility. Final eligibility shall be determined entirely at HLIB’s discretion.*

Campaign Mechanism

1. Eligible Customers who trade Malaysian stocks through the **HLeBroking Local Online Share Trading Platform or HLeBroking Mobile Apps** during the Campaign Period shall receive a waiver of the brokerage fee on their first six (6) successfully matched buy contracts.

2. This Campaign is solely applicable to matched buy contracts of Malaysian stocks ONLY.

3. The brokerage fee waiver is only applicable for the first six (6) successful matched buy contracts **after** consolidation of all the trades performed by the Eligible Customers on each specific stock on a daily basis during the Campaign Period (“Matched Contract”).

4. There is no minimum trading value. The following tables illustrate (as an example only) how the brokerage fee is waived and then reimbursed to the Eligible Customers after the Campaign Period:-

Value Trade Brokerage Plan

Contract Type	Contract Date	Stock Code/ Name	Quantity	Price (RM)	Matched Contract After consolidation on daily basis	Contract Value (RM)	Brokerage Fee (RM) (0.10%)	Brokerage Fee Reimbursement (After Campaign Period)
Buy	15.10.18	Counter A	2,000	4.5300	1	26,800	26.80	26.80
Buy	15.10.18	Counter A	4,000	4.4350				
Buy	23.10.18	Counter B	5,000	1.0000	1	5,000	8	8

Power Trade / 1 Trade Brokerage Plan

Contract Type	Contract Date	Stock Code/ Name	Quantity	Price (RM)	Matched Contract After consolidation on daily basis	Contract Value (RM)	Brokerage Fee (RM) (0.38%)	Brokerage Fee Reimbursement (After Campaign Period)
Buy	15.10.18	Counter A	2,000	4.5300	1	26,800	101.85	101.85
Buy	15.10.18	Counter A	4,000	4.4350				
Buy	23.10.18	Counter B	5,000	1.0000	1	5,000	19	19

Note: HLIB reserves the right to substitute the waiver with another reward/gift as it deems necessary from time to time and no further enquiry will be entertained.

5. Eligible Customers are required to pay the brokerage fee at the time of trading. The brokerage fee will then be waived and reimbursed to the said Eligible Customers' trading account after the Campaign Period.

6. Eligible Customers entitled to participate in the Campaign will receive an e-mail invitation notification (to their e-mail addresses as per HLIB's records) from HLIB. Customers must inform HLIB

if there are any changes to their e-mail addresses. HLIB shall not be held liable or responsible for any delay or non-receipt of HLIB's e-mails.

7. HLIB is not responsible for and does not have any control whatsoever for any internet network failure and/or interruption that may be experienced during the process of sending any e-mails to the Eligible Customers. The same may result in the delay or non-receipt of the e-mails transmitted for which HLIB shall not be liable.

8. Eligible Customers are responsible for checking and ensuring that their e-mail addresses are accurate, and that they are able to access their e-mail accounts and receive and read their e-mails. Eligible Customers are responsible for the general security of their e-mail accounts. HLIB will not be liable for claims or losses arising as a result of any third party's access to the Eligible Customer's e-mail account whether such third party access is gained wrongfully or with permission.

General Terms and Conditions

1. By transacting or carrying out any trade during the Campaign Period, the Eligible Customers agree:-
 - (a) to be bound by all the terms and conditions herein contained; and
 - (b) that HLIB's decision on all matters relating to the Campaign shall be final, conclusive and binding and no further correspondence and/or appeal to dispute HLIB's decision shall be entertained.
2. Customers will still be charged non-brokerage fee items such as, but not limited to, Stamp Duty and Clearing fees, where applicable.
3. HLIB reserves the right to suspend, disqualify or exclude any person from participating or continuing to participate in the Campaign in the event such person is under Default Status. A person shall be deemed as under Default Status if :- (i) such person is in breach of the terms and conditions of the Campaign or the terms and conditions in the Trading Account; (ii) such person's Trading Account is suspended, cancelled or terminated ; and/or (iii) HLIB in its absolute discretion deems fit. HLIB may at its sole discretion allow such Eligible Customer to continue their participation in the Campaign if their status is rectified and no longer under Default Status, (i.e., it is rectified/regularised to HLIB's satisfaction, no longer in breach of the terms and conditions herein and/or where applicable, the suspension of their Trading Account is lifted).
4. HLIB reserves the right to discontinue, suspend or terminate this Campaign at any time at its sole discretion with notification to the Eligible Customers in any manner deemed practical at its discretion. Eligible Customers shall not be entitled to claim any compensation from or against HLIB for any loss or damage suffered as a result of any such discontinuation suspension or termination. In the event HLIB discontinues, suspends or terminates the Campaign prior to the

expiry date of the Campaign, HLIB will notify the Eligible Customers as soon as practicable of the discontinuation, suspension or termination and the terms, if any, thereof.

5. Acting reasonably, HLIB reserves the right, at any time, and without notice, to add, delete and/or vary the terms and conditions of the Campaign, including but not limited to, as follows:- (a) varying the eligibility requirements for the Campaign; (b) varying or imposing any applicable fees; or (c) adding any other benefit and/or withdrawing or substituting the brokerage fee waiver.
6. If HLIB makes changes to these terms and conditions (i.e., adds, deletes and/or varies the terms and conditions of the Campaign), HLIB will, on a best efforts basis, provide the Eligible Customers with prior notice. If it is not reasonably possible to provide the Eligible Customers with prior notice for any such changes (for example if regulatory requirements require HLIB to make changes immediately), HLIB will notify the Eligible Customers as soon as reasonably possible thereafter. Changes may be communicated by e-mail, by way of a notice displayed on HLeBroking's website or in any other manner deemed practical and changes will be immediately binding on the Eligible Customers upon dispatch of the email, display of such notice on HLeBroking's website or delivery (in any other manner deemed practical), as applicable. If the Eligible Customers are not satisfied with the changes to the Campaign or if for any other reason the Eligible Customers wish to withdraw from the Campaign, the Eligible Customers may terminate their participation in the Campaign immediately by giving HLIB notice to that effect in writing, or by contacting HLIB Helpdesk Centre (contact details listed below).
7. To the extent not prohibited by law, HLIB expressly excludes and disclaims any representations, warranties or endorsements, express or implied, in relation to any warranty of quality, merchantability or fitness for purpose in respect of any part of the Campaign.
8. These terms and conditions and all applicable Malaysian laws and regulations shall bind this Campaign and be governed by and construed in accordance with the laws of Malaysia and the Eligible Customers agree to submit to the jurisdiction of the Courts of Malaysia.
9. These terms and conditions shall be read together with the Terms and Conditions of the Trading Account(s). In the event of any inconsistency between the terms and conditions herein and the Terms & Conditions of the Trading Account(s), these terms and conditions shall prevail, in respect of the Campaign only, to the extent of such inconsistency.
10. Nothing contained in these terms and conditions nor shall any part of the Campaign be construed as an offer, recommendation or solicitation to buy or sell any securities. Eligible Customers are advised to make their own independent assessment and consult their professional advisers prior to making any trade or investment.

For more information, you may contact our HLIB Helpdesk Centre at 03 - 2080 8777 or email us at Helpdesk@hlib.hongleong.com.my.