

HLeBroking Clients Referral Campaign (“Campaign”)

Campaign Period – 1st November 2021 to 28th February 2022, both dates inclusive.

Eligibility

Participation in this Campaign shall be limited to Eligible Customer(s)*.

An Eligible Customer is defined as an existing customer of Hong Leong Investment Bank Berhad (“HLIB”).

Eligible Customers who successfully introduce new customers (i.e. those customers who have never held any accounts with HLIB) to open a HLIB Trading Account and successfully apply for HLeBroking online share trading portal access (collectively referred to as “**HLeBroking Account**”) with HLIB during the Campaign Period (“New Customers”) shall be entitled to participate in this Campaign.

* *There is no automatic eligibility for participation in this Campaign. HLIB reserves the right to include or exclude any customer for this Campaign. Fulfilment of the criteria set out above is an indication only, and not a guarantee of eligibility. Final eligibility shall be determined entirely at HLIB’s discretion. This Campaign is not applicable/opened to HLIB’s Marketing Representatives.*

Campaign Mechanism

1. The Eligible Customer will receive either a RM100 or RM500 Touch ‘n Go (“TNG”) Reload PIN if during the Campaign Period, a New Customer: -
 - (a) has successfully opened his/her HLeBroking Account;
 - (b) successfully performed buy or sell transactions through the HLeBroking Online Share Trading Platform or HLeBroking Mobile Apps and incurred minimum net online local brokerage fees of Ringgit Malaysia One Hundred (RM100.00) during the Campaign Period (“Successful Referral”); and
 - (c) has identified the Eligible Customer as his/her referring party either by name, national registration identity card number (NRIC No.) and/or client code.

2. The following table illustrates the mode of calculation for the TNG Reload PIN reward which shall be based on each Successful Referral’s local brokerage fees: -

Successful Referral’s Local Brokerage Fees Accrued and Paid during the Campaign Period	Total TNG Reload PIN Reward
RM100.00 to RM499.99	RM 100**
OR	
RM500.00 and above	RM 500**

** *HLIB reserves the right to substitute the TNG Reload PIN with another reward/gift as it deems necessary from time to time and no further enquiry will be entertained.*

3. The Eligible Customer shall be entitled to earn TNG Reload PINs subject to the following conditions: -
 - (a) the Eligible Customer shall not provide any advice on securities trading to the New Customers. All trading performed will be at the New Customers' sole discretion;
 - (b) the Eligible Customer must observe and comply with HLIB's Trading Account terms and conditions and any applicable laws and rules. Any non-compliance will result in termination of his/her participation in this Campaign; and
 - (c) the New Customers acknowledge that they are informed and agree to the mechanics of this Campaign as set out in the Letter of Acknowledgement in Appendix I.
4. The TNG Reload PIN must be uploaded to a TNG eWallet app and can only be used via the TNG eWallet app. Eligible Customers must have a TNG eWallet in order to enjoy this reward. HLIB will not issue any substitute reward or in-kind reward only because an Eligible Customer does not have or cannot access a TNG eWallet.
5. The maximum TNG Reload PIN reward that an Eligible Customer may receive under this Campaign for each Successful Referral's transactions shall be **RM500**.
6. The TNG Reload PIN is valid for upload to the TNG eWallet app up to the date stipulated for the said PIN and no extension of date shall be given.
7. The TNG Reload PIN is not exchangeable for cash or any other benefit.
8. A successful buy transaction refers to a completed buy contract and a successful sell transaction refers to a completed sell contract.
9. Eligible Customers will receive an e-mail (to their e-mail addresses as per HLIB's records) from HLIB with the TNG Reload PIN after the Campaign Period.
10. If a New Customer submits more than one HLeBroking application form and names different Eligible Customers as introducers in each of the forms, only the Eligible Customer named in the HLeBroking application form that is first to be processed by HLIB shall be entitled to the TNG Reload PIN.
11. HLIB is not responsible for and does not have any control whatsoever for any internet network failure and/or interruption that may be experienced during the process of sending any e-mails to the Eligible Customer. Eligible Customers must inform HLIB if there are any changes made to their e-mail address. HLIB shall not be held liable or responsible for any delay or non-receipt of any HLIB's e-mails transmitted to the Eligible Customer.
12. Eligible Customers are responsible for checking and ensuring that their e-mail address is accurate, and that they are able to access their e-mail account and receive and read their e-mails. Eligible Customers are responsible for the general security of their e-mail accounts. HLIB will not be liable for claims or losses arising as a result of any third party's access to the Eligible Customer's e-mail account whether such access is gained wrongfully or with permission.

General Terms and Conditions

1. By transacting or carrying out any trade during the Campaign Period, the Eligible Customer agrees:-
 - (a) to be bound by all the terms and conditions herein contained; and
 - (b) that HLIB's decision on all matters relating to the Campaign shall be final, conclusive and binding and no further correspondence and/or appeal to dispute HLIB's decision shall be entertained; and
 - (c) to be bound by the terms and conditions of the TNG Reload PIN.
2. HLIB shall not be responsible if the TNG Reload PIN is lost, stolen or misplaced and no replacement will be provided. HLIB shall also not be responsible should the Eligible Customer fail to upload the TNG Reload PIN to the TNG eWallet app within the stipulated validity date. The terms and conditions for use of the TNG Reload PIN as issued by the operators of the TNG eWallet shall apply to the TNG Reload PIN.
3. HLIB reserves the right to suspend, disqualify or exclude any person from participating or continuing to participate in the Campaign in the event such person or the Successful Referral referred by them is under Default Status. A person shall be deemed as under Default Status if :- (i) such person is in breach of the terms and conditions of the Campaign or the terms and conditions in the HLIB Trading Account; (ii) such person's HLIB Trading Account is suspended, cancelled or terminated ; and/or (iii) HLIB in its absolute discretion deems fit. HLIB may at its sole discretion allow such Eligible Customer to continue their participation in the Campaign either fully or partly, if their or the status of the Successful Referral referred by them is rectified and no longer under Default Status, (i.e., it is rectified/regularised to HLIB's satisfaction, no longer in breach of the terms and conditions herein and/or where applicable, the suspension of their HLIB Trading Account is lifted).
4. HLIB reserves the right to discontinue, suspend or terminate this Campaign at any time at its sole discretion with notification to the Eligible Customer in any manner deemed practical at its discretion. The Eligible Customer shall not be entitled to claim any compensation from or against HLIB for any loss or damage suffered as a result of any such discontinuation suspension or termination. In the event HLIB discontinues, suspends or terminates the Campaign prior to the expiry date of the Campaign, HLIB will notify the Eligible Customer as soon as practicable of the discontinuation, suspension or termination and the terms, if any, thereof.
5. Acting reasonably, HLIB reserves the right, at any time, and without notice, to add, delete and/or vary the terms and conditions of the Campaign, including but not limited to, as follows:- (a) varying the eligibility requirements for the Campaign; (b) varying or imposing any applicable fees; or (c) adding or reducing any other benefit..
6. If HLIB makes changes to these terms and conditions (i.e., adds, deletes and/or varies the terms and conditions of the Campaign), HLIB will, on a best efforts basis, provide the Eligible Customer with prior notice. If it is not reasonably possible to provide the Eligible Customer with prior notice for any such changes (for example if regulatory requirements require HLIB to make changes immediately), HLIB will notify the Eligible Customer as soon as reasonably possible thereafter. Changes may be communicated

by e-mail, by way of a notice displayed on HLeBroking's website or in any other manner deemed practical and changes will be immediately binding on the Eligible Customer upon dispatch of the email, display of such notice on HLeBroking's website or delivery (in any other manner deemed practical), as applicable. If the Eligible Customer is not satisfied with the changes to the Campaign or if for any other reason the Eligible Customer wishes to withdraw from the Campaign, the Eligible Customer may terminate his/her participation in the Campaign immediately by giving HLIB notice to that effect in writing, or by contacting HLIB Helpdesk Centre (contact details listed below).

7. To the extent not prohibited by law, HLIB expressly excludes and disclaims any representations, warranties or endorsements, express or implied, in relation to any warranty of quality, merchantability or fitness for purpose in respect of any part of the Campaign or the TNG Reload PIN.
8. These terms and conditions and all applicable Malaysian laws and regulations shall bind this Campaign and be governed by and construed in accordance with the laws of Malaysia and the Eligible Customers agree to submit to the jurisdiction of the Courts of Malaysia.
9. These terms and conditions shall be read together with the Terms and Conditions of the **HLeBroking Account**. In the event of any inconsistency between the terms and conditions herein and the Terms & Conditions of the **HLeBroking Account**, these terms and conditions shall prevail, in respect of the Campaign only, to the extent of such inconsistency.
10. Nothing contained in these terms and conditions nor shall any part of the Campaign be construed as an offer, recommendation or solicitation to buy or sell any securities. Eligible Customers are advised to make their own independent assessment and consult their professional advisers prior to making any trade or investment.

For more information, you may contact our HLIB Helpdesk Centre at 03 - 2080 8777 or email us at Helpdesk@hlib.hongleong.com.my.