

## **HLeBroking – Zero Brokerage Fee for 1<sup>st</sup> Trade Campaign (“Campaign”)**

Campaign Period – 13<sup>th</sup> Sept 2023 to 30<sup>th</sup> June 2024, both dates inclusive.

### **Eligibility**

Participation in this Campaign shall be restricted to new individual customers\* (i.e. those customers who have never held any account with Hong Leong Investment Bank Berhad (“HLIB”)) who open their HLIB Trading Account# with HLeBroking online share trading portal access (collectively referred to as “HLeBroking Account”) during the Campaign Period (“Eligible Customers”) via HLeBroking’s online client onboarding system (<https://www.hlebroking.com/onboarding/NewAccount.aspx>).

*\* There is no automatic eligibility for participation in this Campaign. HLIB reserves the right to include or exclude any customer for this Campaign. Fulfilment of the criteria set out above is an indication only, and not a guarantee of eligibility. Final eligibility shall be determined entirely at HLIB’s discretion.*

*# Inclusive of both conventional and Shariah trading accounts.*

### **Campaign Mechanism**

1. Eligible Customers who trade through the HLeBroking Local Online Share Trading Portal or the HLeBroking Mobile App during the Campaign Period will receive a brokerage fee waiver\*\* ONLY on the **1<sup>st</sup> trade** carried out during the Campaign Period. For avoidance of doubt, all subsequent trades carried out during the Campaign Period will not be eligible for brokerage fee waiver.

**\*\* The brokerage fee waiver is capped at a maximum of RM50.00 per Eligible Customer during the Campaign Period.**

*Note: HLIB reserves the right to substitute the waiver with another reward/gift as it deems necessary from time to time and no further enquiry will be entertained.*

2. Eligible Customers are required to pay the brokerage fee at the time of trading. The brokerage fee will then be waived and reimbursed to the said Eligible Customers’ HLeBroking trust account the following month.
3. This Campaign is solely applicable to successfully matched contracts of **Malaysian stocks** ONLY.
4. There is no minimum trading value.
5. HLIB is not responsible for and does not have any control whatsoever for any internet network failure and/or interruption that may be experienced during the process of sending any e-mails to the Eligible Customers. The same may result in the delay or non-receipt of the e-mails transmitted for which HLIB shall not be liable.

6. Eligible Customers are responsible for checking and ensuring that their e-mail address is accurate, and that they are able to access their e-mail account and receive and read their e-mails. Eligible Customers are responsible for the general security of their e-mail accounts. HLIB will not be liable for claims or losses arising as a result of any third party's access to the Eligible Customer's e-mail account whether such access is gained wrongfully or with permission.

### **General Terms**

1. Employees of HLIB are not eligible to participate in the Campaign.
2. By participating in this Campaign, the Eligible Customers agree:-
  - (i) to be bound by all the terms and conditions herein contained; and
  - (ii) that HLIB's decision on all matters relating to the Campaign shall be final, conclusive and binding and no further correspondence and/or appeal to dispute HLIB's decision shall be entertained.
3. Eligible Customers will still be required to pay other charges such as, but not limited to, stamp duty, clearing fees and sale and services tax (SST) on the brokerage fee, where applicable.
4. HLIB reserves the right to suspend, disqualify or exclude any person from participating or continuing to participate in the Campaign in the event such person is under Default Status. A person shall be deemed as under Default Status if:- (i) such person is in breach of the terms and conditions of the Campaign or the terms and conditions in the HLeBroking Account; (ii) such person's HLeBroking Account is suspended, cancelled or terminated; and/or (iii) HLIB in its absolute discretion deems fit. HLIB may at its sole discretion allow such Eligible Customer to continue his/her participation in the Campaign if his/her status is rectified and no longer under Default Status, (i.e., it is rectified/regularised to HLIB's satisfaction, no longer in breach of the terms and conditions herein and/or where applicable, the suspension of their HLeBroking Account is lifted).
5. HLIB reserves the right to discontinue, suspend or terminate this Campaign at any time at its sole discretion with notification to the Eligible Customer in any manner deemed practical at its discretion. The Eligible Customer shall not be entitled to claim any compensation from or against HLIB for any loss or damage suffered as a result of any such discontinuation suspension or termination. In the event HLIB discontinues, suspends or terminates the Campaign prior to the expiry date of the Campaign, HLIB will notify the Eligible Customer as soon as practicable of the discontinuation, suspension or termination and the terms, if any, thereof.
6. Acting reasonably, HLIB reserves the right, at any time, and without notice, to add, delete and/or vary the terms and conditions of the Campaign, including but not limited to, as follows:
  - (a) varying the eligibility requirements for the Campaign;
  - (b) varying or imposing any applicable fees; or
  - (c) adding any other benefit and/or withdrawing or substituting the brokerage fee waiver.

7. If HLIB makes changes to these terms and conditions (i.e., adds, deletes and/or varies the terms and conditions of the Campaign), HLIB will, on a best efforts basis, provide the Eligible Customer with prior notice. If it is not reasonably possible to provide the Eligible Customer with prior notice for any such changes (for example if regulatory requirements require HLIB to make changes immediately), HLIB will notify the Eligible Customer as soon as reasonably possible thereafter. Changes may be communicated by e-mail, by way of a notice displayed on HLeBroking's website or in any other manner deemed practical and changes will be immediately binding on the Eligible Customer upon dispatch of the email, display of such notice on HLeBroking's website or delivery (in any other manner deemed practical), as applicable. If the Eligible Customer is not satisfied with the changes to the Campaign or if for any other reason the Eligible Customer wishes to withdraw from the Campaign, the Eligible Customer may terminate his/her participation in the Campaign immediately by giving HLIB notice to that effect in writing, or by contacting HLIB Helpdesk Centre (contact details listed below).
8. To the extent not prohibited by law, HLIB expressly excludes and disclaims any representations, warranties or endorsements, express or implied, in relation to any warranty of quality, merchantability or fitness for purpose in respect of any part of the Campaign.
9. These terms and conditions and all applicable Malaysian laws and regulations shall bind this Campaign and be governed by and construed in accordance with the laws of Malaysia and the Eligible Customers agree to submit to the jurisdiction of the Courts of Malaysia.
10. These terms and conditions shall be read together with the Terms and Conditions of the HLeBroking Account. In the event of any inconsistency between the terms and conditions herein and the Terms & Conditions of the HLeBroking Account, these terms and conditions shall prevail, in respect of the Campaign only, to the extent of such inconsistency.
11. Nothing contained in these terms and conditions nor shall any part of the Campaign be construed as an offer, recommendation or solicitation to buy or sell any securities. Eligible Customers are advised to make their own independent assessment and consult their professional advisers prior to making any trade or investment.

For more information, you may contact our HLIB Helpdesk Centre at 03-2080 8777 or email us at [Helpdesk@hlib.hongleong.com.my](mailto:Helpdesk@hlib.hongleong.com.my).