

HLeBroking – IPO Campaign for Existing Customers (“Campaign”)

Campaign Period – 9th May 2023 to 31st December 2023, both dates inclusive.

Eligibility

1. Participation in this Campaign shall be restricted to new and existing individual customers* of Hong Leong Investment Bank Berhad (“HLIB”) who fulfill the following criteria :
 - a) new individual customers (i.e. those individual customers who have never held any account with HLIB) who open their HLIB Trading Account together with HLeBroking online share trading portal access (collectively referred to as “**HLeBroking Account**”) with HLIB during the Campaign Period; and
 - b) existing individual customers who have a HLeBroking Account.

collectively, the “Eligible Customers”.

2. Eligible Customers must be tagged as HLeBroking dealer’s representative customers **ONLY**.

Note: Eligible Customers who are tagged as ‘remisier’s customers’ are to check with their respective remisiers for their eligibility to participate in this Campaign.

** There is no automatic eligibility for participation in this Campaign. HLIB reserves the right to include or exclude any customer for this Campaign. Fulfilment of the criteria set out above is an indication only, and not a guarantee of eligibility. Final eligibility shall be determined entirely at HLIB’s discretion.*

Campaign Mechanism

1. Eligible Customers who trade initial public offering (“IPO”) shares on the listing date (“IPO Listing”) (during the Campaign Period) through the **HLeBroking Local Online Share Trading Platform** or **HLeBroking Mobile Apps** will receive a 20% rebate of the brokerage fee (“Rebate”).
2. Eligible Customers are required to pay the brokerage fee at the time of trading. Eligible Customers who are eligible to receive the reimbursement will receive an e-mail (to their e-mail addresses as per HLIB’s records) from HLIB within five (5) working days after the IPO Listing. The Rebate will then be reimbursed to the Eligible Customers’ HLeBroking trust account five (5) working days after the IPO Listing. Eligible Customers must inform HLIB if there are any changes made to their e-mail address. HLIB shall not be held liable or responsible for any delay or non-receipt of HLIB’s e-mails.
3. This Campaign is solely applicable to successfully matched contracts of Malaysian stocks.

4. HLIB is not responsible for and does not have any control whatsoever for any internet network failure and/or interruption that may be experienced during the process of sending any e-mails to the Eligible Customers. The same may result in the delay or non-receipt of the e-mails transmitted for which HLIB shall not be liable.
5. Eligible Customers are responsible for checking and ensuring that their e-mail address is accurate, and that they are able to access their e-mail account and receive and read their e-mails. Eligible Customers are responsible for the general security of their e-mail accounts. HLIB will not be liable for claims or losses arising as a result of any third party's access to the Eligible Customer's e-mail account whether such access is gained wrongfully or with permission.

General Term

1. Employees of HLIB are not eligible to participate in the Campaign.
2. By participating in this Campaign, the Eligible Customers agree:-
 - (i) to be bound by all the terms and conditions herein contained;
 - (ii) that HLIB's decision on all matters relating to the Campaign shall be final, conclusive and binding and no further correspondence and/or appeal to dispute HLIB's decision shall be entertained.
3. Eligible Customers will still be required to pay other charges such as, but not limited to, stamp duty and clearing fees and sale and services tax (SST) on the brokerage fee, where applicable.
4. HLIB reserves the right to suspend, disqualify or exclude any person from participating or continuing to participate in the Campaign in the event that such person is under Default Status. A person shall be deemed as under Default Status if :- (i) such person is in breach of the terms and conditions of the Campaign or the terms and conditions in the HLIB Trading Account; (ii) such person's HLIB Trading Account is suspended, cancelled or terminated ; and/or (iii) HLIB in its absolute discretion deems fit. HLIB may at its sole discretion allow such Eligible Customer to continue his/her participation in the Campaign either fully or partly, if his/her status is rectified and no longer under Default Status, (i.e., it is rectified/regularised to HLIB's satisfaction, no longer in breach of the terms and conditions herein and/or where applicable, the suspension of their HLIB Trading Account is lifted).
5. Acting reasonably, HLIB reserves the right, at any time, and without notice, to add, delete and/or vary the terms and conditions of the Campaign, including but not limited to, as follows:-
 - (a) varying the eligibility requirements for the Campaign;
 - (b) varying or imposing any applicable fees;
 - (c) adding or reducing any other benefit.

6. These terms and conditions shall be read together with the Terms and Conditions of the **HLeBroking Account**. In the event of any inconsistency between the terms and conditions herein and the Terms & Conditions of the **HLeBroking Account**, these terms and conditions shall prevail, in respect of the Campaign only, to the extent of such inconsistency.
7. Nothing contained in these terms and conditions nor shall any part of the Campaign be construed as an offer, recommendation or solicitation to buy or sell any securities. Eligible Customers are advised to make their own independent assessment and consult their professional advisers prior to making any trade or investment.

For more information, you may contact our HLIB Helpdesk Centre at 03-2080 8777 or email us at Helpdesk@hlib.hongleong.com.my